

Town of Aurora AURORA General Committee Report

No. CS20-013

Subject: **Pros and Cons of Ward and At-Large Electoral Systems**

Prepared by: Michael de Rond, Town Clerk

Department: Corporate Services

Date: June 16, 2020

Recommendation

1. That Report No. CS20-013 be received for information.

Executive Summary

This report is being provided concurrently with the Electoral System Review – Final Report to provide Council and the public with academically accepted pros and cons of the ward and at-large electoral systems. The pros and cons are provided by Dr. Robert Williams, a Professor at the University of Waterloo for many years.

Background

On December 10, 2019, Council directed staff to include a report regarding pros and cons of the Town's potential change to a ward system. The report is to be provided to Council concurrently with the final report from the consultant regarding ward options for the Town.

Analysis

Dr. Robert Williams provided last term's Governance Review Ad Hoc Committee with some general pros and cons of both ward and at-large system.

Perceived pros and cons of each system are often circumstantial and may differ greatly across municipalities. Dr. Williams, Professor Emeritus at the University of Waterloo, Political Science department, has conducted or advised on ward boundary and electoral system reviews in more than twenty-five Ontario municipalities, provided generally accepted academic pros and cons of the system in 2017 which are below. In some cases, he provided some Aurora specific points in parenthesis;

At-Large System – Pros

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- Electors have greater choice and flexibility in elections (each voter has the opportunity to consider every candidate in the Council election).
- Electors are able to select the candidates they think will do the best job, rather than having to make a choice among candidates who happen to run in their ward.
- Residents will have a larger number of Councillors to approach with their concerns.
- The system promotes the concept of a Town-wide focus, with Councillors being elected by, and concerned for, the Town as a whole, rather than placing a priority on more parochial interests.
- The likelihood of acclamations is reduced.

At-Large System - Cons

- There would be no designated voices for particular neighbourhoods.
- At-large elections can lead to significant communities of interest and points of view being unrepresented (or under-represented).
- The system can lead to Councillors being relatively inaccessible for residents of some parts of the Town (each Councillor has 55,000+ constituents).
- Candidates who appeal to areas where voter turnout is highest tend to be elected disproportionately.
- Large numbers of candidates on the ballot (18 in 2010, 28 in 2014) can be confusing for voters.
- Candidates must campaign across the entire municipality; this may make the cost of a campaign prohibitive (especially for newcomers).
- The format can lead to confusion of responsibilities and duplication of effort on the part of Councillors (everybody on Council represents everybody in the municipality).¹

Ward System – Pros

- Councillors are more likely to be truly local representatives, easily accessible to residents and aware of local issues
- Significant communities of interest are more likely to be represented.

¹ Dr. Robert Williams, *Report to Town of Aurora Governance Review Ad Hoc Committee*, https://www.aurora.ca/en/your-government/resources/Legislative-Services/Agendas-and-Minutes/2020-Committee-Documents/Governance-Review-Ad-Hoc-Committee/GRAHC-2020-03-04-Agenda-bmk.pdf, page 3

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- It is less likely that one particular point of view or sectional interest will dominate the Council.
- Provides more cost-efficient government, primarily by eliminating duplication of administrative work communicating the same information to and from two or more Councillors.
- Simplifies the election process for electors.

Ward System - Cons

- Voters may have a restricted choice of candidates in elections for individual wards.
- There is a greater likelihood of acclamations.
- There may be problems if a Councillor is not performing effectively or is clashing with some electors, as electors in a single-member ward have no alternative (knowledgeable) Councillor to approach.
- Ward boundaries may be susceptible to frequent change caused by demographic shifts.
- Population changes can lead to unequal workloads for Councillors until ward boundaries are reviewed.
- If a Councillor resigns or dies, it may be necessary to hold a by-election to select a replacement.
- May discourage new candidates if an incumbent is generally popular or if an incumbent who is popular with a dominant community of interest is running.²

Advisory Committee Review

Due to the COVID-19 pandemic, the planned meeting of the Governance Review Ad Hoc Committee was cancelled. This report was circulated to the Committee for comment prior to being placed on the agenda.

Legal Considerations

None

² Dr. Robert Williams, Report to Town of Aurora Governance Review Ad Hoc Committee, https://www.aurora.ca/en/your-government/resources/Legislative-Services/Agendas-and-Minutes/2020-Committee-Documents/Governance-Review-Ad-Hoc-Committee/GRAHC-2020-03-04-Agenda-bmk.pdf, page 3-4

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Financial Implications

None

Communications Considerations

None

Alternative(s) to the Recommendation

1. Council provide direction.

Conclusions

This report is being provided in accordance with Council direction and is meant to offer general pros and cons for the ward and at-large electoral systems.

Attachments

Attachment 1 - Report to Town of Aurora Governance Review Ad Hoc Committee

Previous Reports

None

Pre-submission Review

Agenda Management Team review by email prior to June 5, 2020

Departmental Approval

Techa van Leeuwen

Director

Corporate Services

Approved for Agenda

Oury Nadagny

Doug Nadorozny

Chief Administrative Officer

Attachment 1

Report to
Town of Aurora
Governance Review Ad Hoc Committee
May 5, 2017
Prepared by
Dr. Robert J. Williams

Purpose

On April 11, 2017, the Governance Review Ad Hoc Committee voted to "recommend to Council:

(a) That staff investigate and report back on the feasibility of a ward system, including the process and cost of retaining a consultant, projected budget, and timelines".

This report is provided to the Governance Review Ad Hoc Committee in response to its direction to staff.

Systems of Representation in Ontario Municipalities

Municipalities in Ontario are governed by elected Councils that are subject to legislative provisions found in the *Municipal Elections Act, 1996* and the *Municipal Act, 2001*. While elections themselves are subject to numerous standard practices related, for example, to elector and candidate eligibility, nominations, financial accountability and other institutional arrangements that are set out in detail, the system of representation is described in minimal terms.

The *Municipal Act, 2001* at s. 217 (1) (4) provides that "other than the head of council, members shall be elected by general vote or wards or by any combination of general vote and wards" and at s. 222 (1) it authorizes a municipality "to divide or redivide the municipality into wards or to dissolve the existing wards" through a by-law. Beyond those brief references, there are no conditions or constraints imposed by the Province to help formulate a local decision to adopt one electoral system or another.

The distinction between the two systems is primarily based on the way the municipality is organized to elect the members of the Council. In one system, referred to as a "general vote" system in the *Municipal Act, 2001* (or as an "at-large" system in popular terminology), the municipality is a

single electoral district in which all seats on the municipal Council are contested. In other words, the entire municipality can be considered a "multi-member" electoral district. In the other system (a ward system), the municipality is divided into a number of electoral districts that elect representatives in separate contests. Within this arrangement, the "district magnitude" (that is the number of seats to be elected in each district) may vary from one (a "single-member" ward) to some larger number (a "multi-member" ward).

As noted above, s. 217 of the *Municipal Act, 2001*, makes it possible to include both general vote and ward systems in a single municipality's electoral system. In some cases, as well, the system of representation includes a combination of single-member and multi-member wards.

Aurora has always used a general vote system, despite attempts from time to time to change to a ward system. Once again, there is no direction from the Province either through legislation or regulation about the conditions to be met or considered for changing from one system to the other. While there are clearly differences in the impact of each system, there are no "standard" circumstances that favour one method over the other. Nor is one system or the other mandatory for particular types of municipalities.

For many people, a general vote system is the most appropriate election method in municipalities where the population is small. Aurora has traditionally been considered "small." Today the population is approximately 55,000 and arguably that label should no longer apply. However, as noted already, there is no conventional benchmark to apply to indicate whether a change is appropriate.

Exercising the authority set out in s 217 of the *Municipal Act, 2001* to adopt one system rather than the other is therefore at Council's discretion.

Comparing the Alternatives

The Governance Review Ad Hoc Committee is interesting in exploring a ward system for Aurora in 2017 through a Ward Boundary Review. Given the long history of at-large elections in the Town, it is prudent to provide

¹ The 2016 Census shows a population of 55,445 in Aurora, up from 53,203 in the 2011 Census (an increase of 4.2%).

members of Council and residents a summary of some of the implications of the two systems as background.²

Implications of an At-Large System of Representation

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Advantages	Disadvantages	
 Electors have greater choice and flexibility in elections (each voter has the opportunity to consider every candidate in the Council election). Electors are able to select the candidates they think will do the best job, rather than having to make a choice among candidates who happen to run in their ward. Residents will have a larger number of Councillors to approach with their concerns. The system promotes the concept of a Town-wide focus, with Councillors being elected by, and concerned for, the Town as a whole, rather than placing a priority on more parochial interests. The likelihood of acclamations is reduced. 	 There would be no designated voices for particular neighbourhoods. At-large elections can lead to significant communities of interest and points of view being unrepresented (or under-represented). The system can lead to Councillors being relatively inaccessible for residents of some parts of the Town (each Councillor has 55,000+ constituents). Candidates who appeal to areas where voter turnout is highest tend to be elected disproportionately. Large numbers of candidates on the ballot (18 in 2010, 28 in 2014) can be confusing for voters. Candidates must campaign across the entire municipality; this may make the cost of a campaign prohibitive (especially for newcomers). The format can lead to confusion of responsibilities and duplication of effort on the part of Councillors (everybody on Council represents everybody in the municipality). 	

Implications of a Ward System of Representation

Advantages	Disadvantages	
 Councillors are more likely to be truly local representatives, easily 	 Councillors may be elected on minor or parochial issues and may lack a 	
accessible to residents and aware of	perspective of what is to the benefit of	
local issues.	the Town as a whole.	

This is a summary extracted by the author from reports he has previously prepared. Many of these points were also included in *Wards for Aurora: A Discussion Paper* prepared in 2010 by Aurora's Customer & Legislative Services Department.

Advantages

- Significant communities of interest are more likely to be represented.
- It is less likely that one particular point of view or sectional interest will dominate the Council.
- Provides more cost-efficient government, primarily by eliminating duplication of administrative work communicating the same information to and from two or more Councillors.
- Simplifies the election process for electors.

Disadvantages

- Voters may have a restricted choice of candidates in elections for individual wards.
- There is a greater likelihood of acclamations.
- There may be problems if a Councillor is not performing effectively or is clashing with some electors, as electors in a single-member ward have no alternative (knowledgeable) Councillor to approach.
- Ward boundaries may be susceptible to frequent change caused by demographic shifts.
- Population changes can lead to unequal workloads for Councillors until ward boundaries are reviewed.
- If a Councillor resigns or dies, it may be necessary to hold a by-election to select a replacement.
- May discourage new candidates if an incumbent is generally popular or if an incumbent who is popular with a dominant community of interest is running.

Briefly, the at-large system places an emphasis on Councillors having a Town-wide mandate and outlook and electors having greater choices at election time. The reality, however, is that all eight Councillors are faced with the potential of having to deal with questions and issues from all 55,000 plus residents and electors have been required to sort through 18 candidates in 2010 and 28 candidates in 2014 to mark up to eight names on their ballot.

The ward system places greater emphasis on direct accountability and the expectation that distinctive neighbourhood voices will be heard around the Council table. The reality, however, may be that in some wards choices will be limited and the ward boundaries will need to be reviewed periodically to stay in step with population changes.

What is a Ward Boundary Review?

A Ward Boundary Review (W.B.R.) is basically a task designed to assist Council in reaching a determination on an electoral arrangement that provides effective representation through a structure sensitive to the geographic distribution of the inhabitants of the municipality.

In Ontario there is no prescribed process for a municipality to follow to review its system of representation and no mandatory principles to apply in the design of an electoral system. It is therefore up to each municipal council to set the terms of reference for a review, including the process to be followed, and, ideally, to establish criteria or guiding principles that can be used to evaluate the municipality's electoral system.

Given the primary importance of the electoral structure to those presently holding public office in the Town, a review that would be considered acceptable by the community (and by the O.M.B. in the event of an appeal) must be conducted for the municipality by someone who is not a member of Council or a municipal employee, ideally an experienced independent consultant.

Furthermore, a successful W.B.R. requires expertise on municipal electoral systems, reliable data on present and future population trends across the municipality, expertise to develop and map alternative designs and a public engagement strategy. Without access to such capacities, there is a risk that an electoral review may lead to unfair, ill-conceived or politically motivated results.

An effective W.B.R. process would require Council to agree at the outset on a set of guiding principles (that is, "what would wards and a ward system 'look like' in Aurora?") and a process consistent with Town practices in relation to public consultation. In this instance, it would also be important for Council to confirm what the *Municipal Act, 2001* calls "the composition of Council." That is, will the Council remain at nine members (a Mayor and eight Town Councillors)?

In conducting a comprehensive W.B.R., a consultant would start by developing a clear understanding of the present electoral system, including its origins and operations as a system of representation. The next step would be to evaluate the strengths and weaknesses of the present system on the basis of the identified principles with the aim of Identifying plausible

modifications to the present electoral structure. Without wards in place, some of the evidence would possibly have to be anecdotal (for example voter turnout or residential addresses of successful candidates). Put another way, what are the "problems" with representation that could be solved by changing from an at-large system to a ward system?

Since an at-large system implicitly treats the municipality as a single community of interest, some evidence about the delivery of services, transportation patterns, residential configurations, retail and commercial clusters and other data will shed light on whether Aurora can be considered a compact community built around a single population node – a community where an at-large system might still be appropriate. If this is not the case, the Review would seek to develop options that capture the diversity of the Town in the election of its Councillors. In order to design wards that will provide effective representation over at least two elections, detailed population data (including growth forecasts) for the Town will also be a priority.

A successful W.B.R. will include an appropriate consultation process to ensure community support for the review and its outcome. In this phase, various alternative arrangements will be subject to public discussion and comment both at public meetings and through on-line tools. Finally, Council will receive a report that will set out recommended alternative ward boundaries to ensure effective and equitable electoral arrangements for the Town of Aurora, based on the principles identified.

Are Wards "Feasible" in Aurora?

The Governance Review Ad Hoc Committee asks about the "feasibility" of wards in Aurora. This is a legitimate concern since the *Municipal Act, 2001* stipulates that municipal elections be conducted under provisions in place on January 1 of an election year. Since 2018 is the next municipal election year in Ontario, any changes to the Town's electoral system must be agreed upon in time to allow for an Ontario Municipal Board hearing, should any decision to divide the municipality into wards be appealed.

The full process includes two segments: getting to a Council decision and the legislated appeal period. The latter (*Municipal Act, 2001* section 222) basically includes a 15 day notification period after Council passes a by-law to establish wards, a 45 day appeal period during which the by-law could be appealed to the O.M.B. and the time needed by the Board to schedule,

conduct and rule on an appeal. Unless a by-law passed after the middle of October has significant community support and is unlikely to be appealed, the implementation of a change to a ward system late this year is risky. It can be done but above all requires Council to select a plausible and defensible ward configuration.

The process of getting a recommendation to Council can take several months, depending on the time required to collect and analyze data, to undertake background research and consultation, to conduct public consultation and finalize suitable options for Council to consider. However, several of these steps can be compressed without compromising the integrity of the process.

Ideally, to meet the timelines just noted, Council should endorse a W.B.R. as soon as possible, including a set of guiding principles and other terms of reference. As well, an independent consultant should be identified and engaged by the end of June 2017.

Stage in Process	Month
Conduct research on present electoral system	July 2017
Collect data on present and future population	
Conduct interviews with elected officials and senior	
Town staff	
Conduct public open house to consider alternative	September
ward configurations and seek public feedback	2017
Prepare report to Council with alternative ward	early October
configurations and recommendation	2017
Council approval of final report and adoption of by-	mid-October
law	2017 at the
	latest
Possible O.M.B. appeal process (includes time for	October-
appeals, notifications, and hearings by the Board)	December 2017

Budget Requirements

Comparable Ward Boundary Reviews with appropriate public consultation have been conducted by experienced consultants on a budget of \$35,000 - \$40,000 (including disbursements but excluding HST). Municipal staff's role would be limited to providing background data to support technical work, to

oversee provision of communications, correspondence and to make logistical arrangements for the public consultation component.

The consultants would handle the bulk of the project at arm's length, including research, data collection, mapping, running public consultation sessions and preparing and presenting reports.

This report was prepared by Dr. Robert J. Williams, an independent consultant specializing in municipal electoral systems. Since 2008 he has personally undertaken reviews for Kitchener, Markham, Milton, New Tecumseth, Oakville, Whitchurch-Stouffville, Windsor and West Lincoln.

He has also worked in conjunction with Watson and Associates on reviews for Pelham, Barrie, Bradford West Gwillimbury, Clearview, Gravenhurst, Hamilton, Milton, Georgina and Severn. They are currently collaborating on ward boundary reviews in Oshawa, Scugog, Orillia and Essex.

Dr. Williams has also been an advisor to Municipal Clerks or citizens on ward boundary matters in Wilmot, Brantford, East Gwillimbury, Georgian Bay, Kearney, Killarney and Kawartha Lakes. He has served as an expert witness before the OMB hearings on ten occasions.

In 2010 he was engaged by the Nova Scotia Utilities and Review Board to prepare reports in relation to the appropriate size of councils in Halifax and Cape Breton Regional Municipalities.

Dr. Williams is a Professor Emeritus of Political Science, University of Waterloo.